



ATTORNEY DOCKET NO. 2001-10-68171

USSN 09/845,138

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: OTERO *et al.*

Application No.: 09/845,138

Group Art Unit: 2825

Filed: April 30, 2001

Examiner: not yet assigned

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the U.S. Postal Service on December 3, 2001, in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR § 1.10, Mailing Label Number EL929339735US addressed to : U.S. PATENT AND TRADEMARK OFFICE, P.O. BOX 2327, ARLINGTON, VA 22202.

Karen Spina

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DEC 11 2001

Technology Center 2100

ATTN: Assistant Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.
- ☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97(c)**

The fee of \$ _____ for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Petition Under 37 CFR § 1.97(d)**

This is a petition requesting consideration of an IDS under § 1.97(d).

☐ **Fee Under 37 CFR § 1.97(d)**

The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

☐ **Certification Under 37 CFR § 1.97(e)**

- ☐ Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- ☐ No item of information contained in this statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Provision of Copies of References

- ☒ Copies of all cited references are enclosed.
- ☐ A copies of the ABSTRACTS of the reference(s) listed on the attached Form PTO-1449 as Reference No(s) _____ is enclosed herewith and form a part hereof.
- ☐ A partial translation of Reference No(s). _____ is enclosed herewith and forms a part hereof.
- ☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

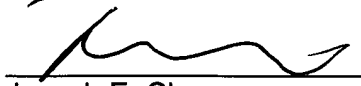
Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application Serial No. _____, filed on _____. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

[X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 50-0979. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date: December 3, 2001



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ATTORNEY DOCKET No. 21710-68171

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: OTERO *et al.*

Application No.: 09/845,138

Group Art Unit: 2825

Filed: April 30, 2001

Examiner: not yet assigned

Title: APPARATUS, METHODS AND ARTICLES OF MANUFACTURE FOR
CONSTRUCTING AND EXECUTING COMPUTERIZED TRANSACTION
PROCESSES AND PROGRAMS

ATTN: Assistant Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

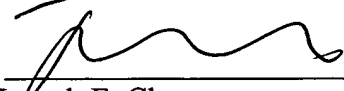
INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is submitted to the U.S. Patent and Trademark Office pursuant to Applicants' duty under 37 C.F.R. §1.56, accompanied by a Form PTO-1449 listing each reported reference. A complete copy of each listed reference is enclosed.

All of the aforesaid reported references are respectfully requested to be considered in connection with examination of this application, and to be made of record herein.

Date: December 3, 2001

Respectfully submitted,



Joseph E. Chovanes
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